

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Corporate	Code of Conduct for Employees	High	Planned				
Corporate	Consultancy Support	High	Planned				
Corporate	Delivery of Savings Targets	High	Planned				
Corporate	Grant Income	High	Planned				
Corporate	CIVICA Contract Revs & Bens	High	Final Report Issued	Satisfactory	Satisfactory	23/01/2017	
Corporate	Payroll - New Starters and Leavers Processes	High	Audit in Progress				
Corporate	Payroll - Zero Hours Contracts	High	Final Report Issued	Satisfactory	Satisfactory	19/09/2016	
Corporate	Shared Services - HR	High	Audit in Progress				
Corporate	Staff Appraisal System	High	Deferred				Deferral of staff appraisal system
Corporate	IT Disaster Recovery and Business Continuity	High	Deferred				Carried forward to 2017/18 plan
Corporate	Cyber Security	High	Audit in Progress				
Democratic	Election Accounts	High	Final Report Issued	Not Applicable	Not Applicable	21/11/2016	New Activity requested by Democratic and
Finance	Benefits - Uprating	High	Final Report Issued	Substantial	Substantial	19/09/2016	
Finance	Setting of Council Tax Charges	High	Final Report Issued	Substantial	Substantial	19/09/2016	
Finance	Capital Accounting	High	Audit in Progress				KPMG joint working protocol
Finance	Cash & Bank	High	Planned				KPMG joint working protocol
Finance	General Non-Pay Expenditure (Creditors)	High	Audit in Progress				KPMG joint working protocol
Finance	Network Access Controls	High	Draft Report Issued				KPMG joint working protocol
Finance	New Financial Management System	High	Audit in Progress				
Finance	National Non Domestic Rates (NNDR) Multipliers	High	Final Report Issued	Substantial	Substantial	19/09/2016	
Finance	Procurement Cards	High	Final Report Issued	Substantial	Substantial	21/11/2016	
Finance	Council Tax - Part 2	High	Audit in Progress				
Finance	National Non Domestic Rates (NNDR) - Part 2	High	Audit in Progress				
Finance	Benefits - Part 2	High	Audit in Progress				
Finance	Turnover Certificate for Blackfriars Priory	High	Final Report Issued	Not Applicable	Not Applicable	23/01/2017	New Activity
Planning	Building Control Shared Service	High	Final Report Issued	Satisfactory	Satisfactory	23/01/2017	
Planning	Section 106 Agreements	High	Final Report Issued	Satisfactory	Satisfactory	21/11/2016	
Planning	Planning Application	High	Final Report Issued	Not Applicable	Not Applicable	23/01/2017	New Activity - consultancy
Regeneration & Economic	Gloucester Supports Business Grants	High	Audit in Progress				
Regeneration & Economic	Townscape Heritage Initiative	High	Planned				
Neighbourhood Service	Community Support Grants	High	Final Report Issued	Satisfactory	Substantial	23/01/2017	
Neighbourhood Service	Garden Waste	High	Final Report Issued	Satisfactory	Satisfactory	23/01/2017	
Neighbourhood Service	Amey - Streetcare contract	High	Final Report Issued	Satisfactory	Limited	23/01/2017	
Public Protection	Markets and Street Trading	High	Final Report Issued	Satisfactory	Satisfactory	19/09/2016	
Other Services	Electoral Service	High	Planned				
Other Services	Homelessness	High	Deferred				Carried forward to 2017/18 plan due to referral
Other Services	New Box Office System	High	Planned				
Other Services	Off-Street Car Park Income	High	Final Report Issued	Satisfactory	Satisfactory	23/01/2017	
Other Services	Gloucester Guildhall	High	Final Report Issued	Not Applicable	Not Applicable	19/09/2016	
Corporate	Shared Services - Communications		Planned				
Regeneration & Economic	Follow up to Commercial Rents (brought forward)	High	Final Report Issued	Substantial	Satisfactory	19/09/2016	Brought forward from 15/16 plan

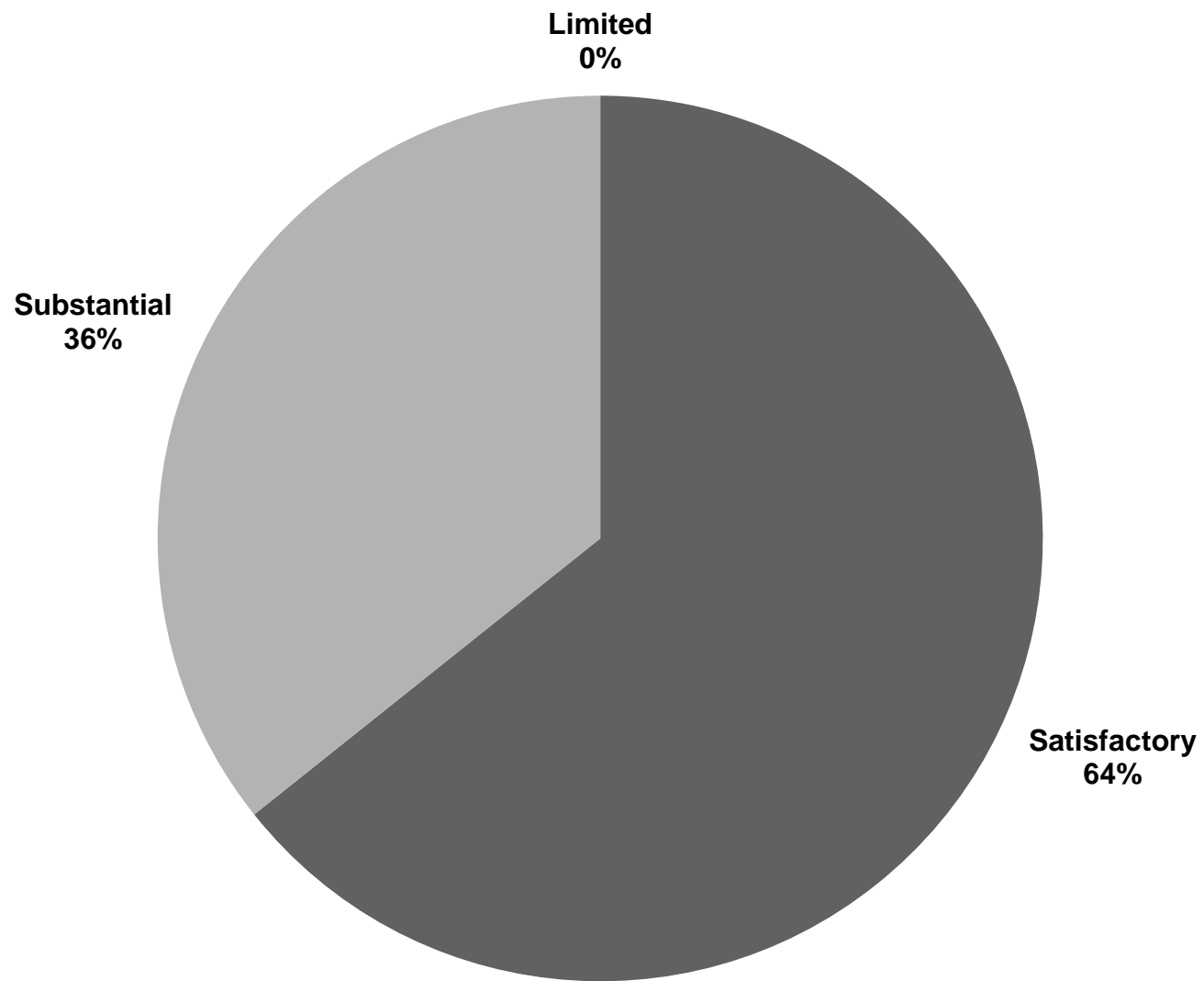
Risk Assurance

Satisfactory	9
Substantial	5
Limited	0

Control Assurance

Satisfactory	8
Substantial	5
Limited	1

Risk Assurance



Control Assurance

